



JC14 Rec'd PCT/PTO 0 4 JAN 2002

RECEIPT
PG

PATENT

Attorney Docket No. ADAMS1100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

LC
LH
2-11-02

Applicant: Leslie Johr: Cass
Serial No.: 09/830,018
Filed: June 29, 2001
Title: A MONITORING AND IDENTIFICATION SYSTEM

Art Unit: 3661
Examiner: Unassigned

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

RECEIVED

JAN 23 2002

Technology Center 2100

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in connection with the above-identified patent application, please find the following:

- (1) Request for Corrected Filing Receipt; (2 pgs)
- (2) Copy of Filing Receipt reflecting changes; (2 pgs)
- (3) Copy of Preliminary Amendment; (1 pg) and
- (4) Return postcard.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, 10-22-01, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

Ginia Najari
(Name of Person mailing Paper)

[Signature]
(Signature of Person mailing Paper)

10-22-01
Date

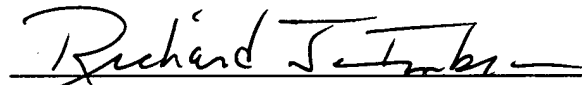
In re Application of:
Leslie John Cass
Serial No.: 09/830,018
Filed: June 29, 2001
Page 2

PATENT
Attorney Docket No. ADAMS1100

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 50-1355. A copy of this Transmittal Letter is enclosed.

Respectfully submitted,

Date: October 22, 2001


Richard J. Imbra
Registration No. 37,643
Telephone: (858) 677-1496
Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP
4365 Executive Drive, Suite 1600
San Diego, California 92121-2189



PATENT
Attorney Docket No. ADAMS1100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Leslie John Cass
Serial No.: 09/830,018
Filed: June 29, 2001
Title: A MONITORING AND IDENTIFICATION SYSTEM

Art Unit: 3661
Examiner: Unassigned

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

RECEIVED
JAN 23 2002
Technology Center 2100

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

This Communication is submitted to request correction of the filing receipt in reference to the above-identified application. The data requiring correction is indicated in yellow highlight on the attached copy of the filing receipt and herein in **BOLD**.

CERTIFICATION UNDER 37 CFR §1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, <u>10-22-01</u> , in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231	
<u>Yimaz Nazari</u> (Name of Person mailing Paper)	
<u>[Signature]</u> (Signature of Person Mailing Paper)	<u>10-22-01</u> Date

In re Application of:

• Leslie John Cass •

Serial No.: 09/830,018

Filed: June 29, 2001

Page 2

PATENT

Attorney Docket No. ADAMS1100

Please change title from "Vehicle Identification System" to **-A MONITORING AND IDENTIFICATION SYSTEM-**.

Applicant accordingly requests the correction be made of record.

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 50-1355.

Respectfully submitted,

Date:

October 22, 2001



Richard J. Imbra

Registration No. 37,643

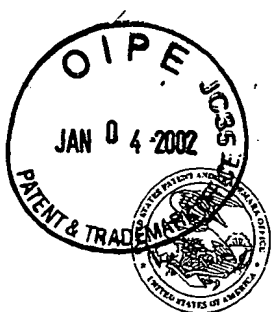
Telephone: (858) 677-1496

Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP

4365 Executive Drive, Suite 1600

San Diego, California 92121-2189



RECEIVED

OCT 17 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

GRAYCARY/GT.PATENT

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/830,018	06/29/2001	3661	782	ADAMS1100	6	43	5

CONFIRMATION NO. 9324

FILING RECEIPT



OC000000006838184

Lisa A Haile
Gray Cary Ware & Freidenrich
4365 Executive Drive Suite 1600
San Diego, CA 92121

Date Mailed: 10/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Leslie John Cass, Middleburg, SOUTH AFRICA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/IB99/01723 10/21/1999

Foreign Applications

SOUTH AFRICA 98/9689 10/23/1998

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Vehicle identification system

A MONITORING AND IDENTIFICATION SYSTEM

Preliminary Class

701

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

CASS et al

Serial No.: Unknown

Filed: Herewith

Group No.: Unknown

Examiner: Unknown

Docket No. ADAMS1100

For: A MONITORING AND IDENTIFICATION SYSTEM (As Amended)

BOX PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED

JAN 23 2002

Technology Center 2100

Sir:

PRELIMINARY AMENDMENT

In advance of the first examination in this case, Applicants request amendment of the subject application, as follows:

IN THE TITLE

Please amend the title to --A MONITORING AND IDENTIFICATION SYSTEM--.

IN THE CLAIMS

Please cancel Claims 1-31 and enter the claims listed on Attachment A adding new Claims 1-43

REMARKS

Claims 1-31 have been cancelled. Claims 1-43 have been added. Claims 1-43 remain in the application.

This Preliminary Amendment is submitted in advance of the first examination of the subject application. No new matter has been entered.

Respectfully submitted,

Lisa A. Haile
LISA A. HAILE
Reg. No. 38,347

Date:

4/19/01

GRAY CARY WARE & FREIDENRICH
4365 Executive Drive, Suite 1600
San Diego, CA 92121-2189

Telephone: (858) 677-1456 Fax: (858) 677-1465